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Attorney Docket No: TR-074 (CIP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT APPLICATION OF:

WAN, P.W., et al

**SERIAL NO:** 

10/067,748

**ART UNIT:** 

FILED:

8 February 2002

**EXAMINER:** 

SUBJECT:

CHANNEL IDENTIFICATION IN COMMUNICATION NETWORKS

THE ASSISTANT COMMISSIONER FOR PATENTS **WASHINGTON, D.C., 20231, U.S.A.** 

Sir:

## **INFORMATION DISCLOSURE STATEMENT** 37 CFR §1.97(b)

Applicants submit the following information and request that it be made of record in respect of the above-noted application:

- Form PTO-SB/08A listing three (3) U.S. patent documents; 1.
- 2. Form PTO-SB/08B listing three (3) Other Prior Art (non-patent literature documents); and
- 3. Copies of the references cited therein.

This Information Disclosure Statement is being filed within three months of receipt thereof or before the mailing date of a first Office Action on the merits. No certification or fee is required (37 CFR 1.97(b)).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment, to Deposit Account No. 501832.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B be returned indicating that such information has been considered.

Yours truly,

WAN, P.W., et al

By:

Victoria Donnélly Patent Agent. Reg. No. 44,185

VD/tk.

c/o

TROPIC NETWORKS INC., Intellectual Property Department 135 Michael Cowpland Drive Kanata, Ontario, Canada. K2M 2E9

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FAX: Date:

April 4, 2002

OIPE vo.

PTO/SB/08B (10-01)
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Complete if Known Substitute for form 1449B/PTO 10/067.748 **Application Number** INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT First Named Inventor WAN Ping Wai Group Art Unit (use as many sheets as necessary **Examiner Name** TR-074 CIP Sheet of Attomey Docket Number

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	АD	Lyons, R., "Understanding Digital Signal Processing", published by Addison-Wesley Publishing Company, Copyright 1997, pp. 319-349.	
	AE	Heisman, F., et al, "Signal Tracking and Performance Monitoring in Multi-Wavelength Optical Networks", WeB 2.2, 22nd European Conference on Optical Communication - ECOC'96, Oslo, pp. 3.47 - 3.50.	
	AF	Hill, G.R., et al, "A Transport Network Layer Based on Optical Network Elements", Journal of Lightwave Technology, Vol. 11, No. 5/6, May/June 1993, pp. 667-679.	

Examiner	Date	
Signature	 Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number 10/067,748				
Filing Date	February 8, 2002			
First Named Inventor	WAN. Ping Wai			
Art Unit				
Examiner Name				
Attorney Docket Number	TR-074 CIP			

	U.S. PATENT DOCUMENTS					
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA	us-5,959,749	Sept.28/	99 DANAGHER et	1	
	AB.	US-6,101,012	Aug.8/00	DANAGHER et	11	
***************************************	AC.	us-5,513,029 us-	Apr.30/0	6 ROBERTS		
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FOREIGN PATENT DOCUMENTS							
Examiner Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages			
nitials*	No.1	Country Code 3 -Number 4 - Kind Code 5 (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.